## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

AJGAONKAR, et al.

Examiner: TBA

Serial No:

10/613,966

Group Art Unit: 2872



07/03/2003

OPTICAL COMMUNICATION SYSTEM

AND METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 12 FEB 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on 12 FEB 2004

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Name of applicant, assignee, or Registered Representative

Signature

12 FEB 2004

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| FORM PTO-1449 (MODIFIED)  |      |  |           | ATTORNEY DOCKET NO.         |           | SERIAL NO.    |             |
|---|------|--|-----------|-----------------------------|-----------|---------------|-------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT 1 7 2000 REFERENCE DESIGNATION |      |  |           | SP02-155                    |           | 10/613,966    |             |
|   |      |  |           |                             |           |               |             |
|   |      |  |           | APPLICANT AJGAONKAR, et al. |           |               |             |
|   |      |  |           | FILING DATE 07/03/2003      |           | GROUP: 2872   |             |
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| Examiner<br>Initial   |      | Document Number  | Date      | Name                        | Clas      | Class         | if Appro    |
|   | AA   | 2003/0147116   | 8/7/03    | Shpantzer et al.            | 359       | 264           |             |
|   | AB   | 2003/0058504   | 3/27/03   | Cho et al.                  | 359       | 161           |             |
|   | AC   | 2002/0186435   | 12/12/02  | Shpantzer et al.            | 359       | 136           |             |
|   | AD   | 2002/0145787   | 10/10/02  | Shpantzer et al.            | 359       | 136           |             |
|   | AE   | 6,411,416  | 6/25/02   | Ooi et al.                  | 359       | 161           |             |
|   | AF   | 6,323,993  | 11/27/01  | Hansen et al.               | 359       | 337           |             |
|   | AG   | 6,311,002  | 10/30/01  | Evangelides et al.          | 385       | 123           |             |
|   | AH   | 6,229,935  | 5/8/01    | Jones et al.                | 385       | 24            |             |
|   | AI   | 6,081,360  | 6/27/00   | Ishikawa et al.             | 359       | 161           |             |
|   | AJ   | 6,021,235  | 2/1/00    | Yamamoto et al.             | 385       | 24            |             |
|   | AK   | 5,914,799  | 6/22/99   | Tan                         | 359       | 167           |             |
|   | AL   | 5,717,795  | 2/10/98   | Sharma et al.               | 385       | 24            |             |
|   | AM   | 5,559,920  | 9/24/96   | Chraplyvy et al.            | 385       | 123           |             |
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|   | AN   | EP 0 868 794   | 6/28/00   | Europe                      | H04B      | 10/18         |             |
|   | AO   |  |           |                             |           | 1             |             |
|   | AP   |  |           |                             |           |               |             |
|   | AQ   |  |           |                             |           |               |             |
|   |      | OTHER ART (  | Including | Author, Title, Date, Pertir | ent Dages | eta )         | •           |
|   | AR   |  |           |                             |           |               | Dianavaia   |
|   | '``` | Sahara, et al., "40-Gb/s RZ Transmission over a Transoceanic Distance in a Dispersion Managed Standard Fiber Using a Modified Inline Synchronous Modulation Method", Journal of Lightwave Technology, Vol. 18, No. 10, October 2000, pgs. 1364-1373. |           |                             |           |               |             |
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|   | AU   |  |           | <del></del>                 |           |               |             |
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|   | AW   |  |           |                             | •         |               |             |
|   |      |  |           |                             |           |               |             |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.